

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

0558-4024

U.S. APPLICATION NO. (if known, see 37 CFR 1.51)

TBA

10/048038

INTERNATIONAL APPLICATION  
PCT/EP00/07022

INTERNATIONAL FILING DATE  
21 July 2000 (21.07.00)

PRIORITY DATE CLAIMED  
23 July 1999 (23.07.99)

TITLE OF INVENTION

POLYPEPTIDE DENDRIMERS AS UNIMOLECULARS CARRIERS OF DIAGNOSTIC IMAGING CONTRAST AGENTS, BIOACTIVE SUBSTANCES AND DRUGS

APPLICANT(S) FOR DO/EO/US  
VERDINI, Antonio

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International application into English (35 U.S.C. 371(c)(2)), with oath
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A copy of the annexes to the International Preliminary Examination Report under PCT Article 36 is enclosed (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Copy of PCT Request
  - Copy of International Application Published Under the Patent Cooperation Treaty (PCT)
  - Information Concerning Elected Offices Notified of Their Election
  - International Preliminary Examination Report
  - Notice Informing the Applicant of the Communication of the International Application to the Designated Offices
  - Return postcard.

TBA

PCT/EP0/07022

0558-4024

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE** (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO...\$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 890.00

Surcharge of \$130 for furnishing the oath or declaration later than ☐20 ☐30  
months from the earliest claimed priority date (37 CFR 1.492(c)).

\$ 0.00

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       |
|---|--------------|--------------|------------|
| Total claims                                | 55 - 20      | 35           | X \$18.00  |
| Independent claims                          | 9 - 3        | 6            | X \$80.00  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$270.00 |

\$ 0.00

\$ 630.00

\$ 480.00

\$ 270.00

**TOTAL OF ABOVE CALCULATIONS =**

\$2270.00

Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28). Applicant asserts it is a **SMALL ENTITY**.

\$ 0.00

**SUBTOTAL =**

\$2270.00

Processing fee of \$130.00 for furnishing the English translation later than ☐20 ☐30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

**TOTAL NATIONAL FEE =**

\$2270.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property =

\$ 40.00

**TOTAL FEES ENCLOSED**

\$2310.00

|                           |    |
|---------------------------|----|
| Amount to be<br>refunded: | \$ |
| charged                   | \$ |

a. ☒ A check in the amount of \$ 2,310.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 13-4500 in the amount of \$0 to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-4500, ORDER NO. 0558-4024US. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154-0053  
Telephone: 212-758-4800  
Telecopier: 212-751-6849

*William S. Feiler*  
William S. Feiler

Registration Number 26,728

Docket No. 0558-4024

IN THE UNITED STATES

[ ] RECEIVING OFFICE (RO/US)  
[X] DESIGNATED OFFICE (DO/US)  
[X] ELECTED OFFICE (EO/US)

|  |  |  |
|--|--|--|
| INTERNATIONAL APPLICATION<br>PCT/EP00/07022  | INTERNATIONAL FILING DATE<br>21 July 2000 (21.07.00) | PRIORITY DATE CLAIMED<br>23 July 1999 (23.07.99) |
| TITLE OF INVENTION<br>POLYPEPTIDE DENDRIMERS AS UNIMOLECULARS CARRIERS OF DIAGNOSTIC IMAGING CONTRAST AGENTS, BIOACTIVE SUBSTANCES AND DRUGS |  |  |
| APPLICANT(S) FOR DO/EO/US<br>VERDINI, Antonio  |  |  |

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231  
Attention: DO/EO/US

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

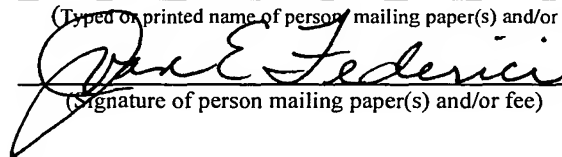
I declare that on January 23, 2002 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL853178639US, addressed to the "BOX PCT, Commissioner for Patents, Washington, D.C. 20231, Attention: DO/EO/US and having an express mail certification which I executed, the following papers:

- Transmittal Letter to United States Designated/Elected Office (in duplicate).
- Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices.
- Copy of International Preliminary Examination Report.
- Copy of PCT Request.
- Combined Declaration and Power of Attorney.
- Assignment.
- Assignment Recordation.
- Preliminary Amendment.
- Copy of International Application Published Under the Patent Cooperation Treaty (PCT).
- Information Concerning Elected Offices Notified of Their Election.
- Check in the amount of \$ 2310.00 covering filing fee and assignment recordation fee.
- IDS and Form 1449 with 5 references.
- Return postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Joan E Federici

(Typed or printed name of person mailing paper(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-684 Facsimile  
FORM: EXP-MAIL.NY  
Rev. 05/27/98